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Concurrency Made Easy: Concurrent object-oriented programming with SCOOP

ACM Webinar, 15 November 2018
Bertrand Meyer

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Concurrency Made Easy: Concurrent object-oriented programming with SCOOP

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- Built-in guarantee of **no data races**
- Close connection to O-O modeling
- Natural use of O-O mechanisms such as inheritance
- Built-in fairness
- Removes many concerns from programmer
- Supports many different forms of concurrency
- Retains accepted patterns of reasoning about programs
- Simple to learn and use

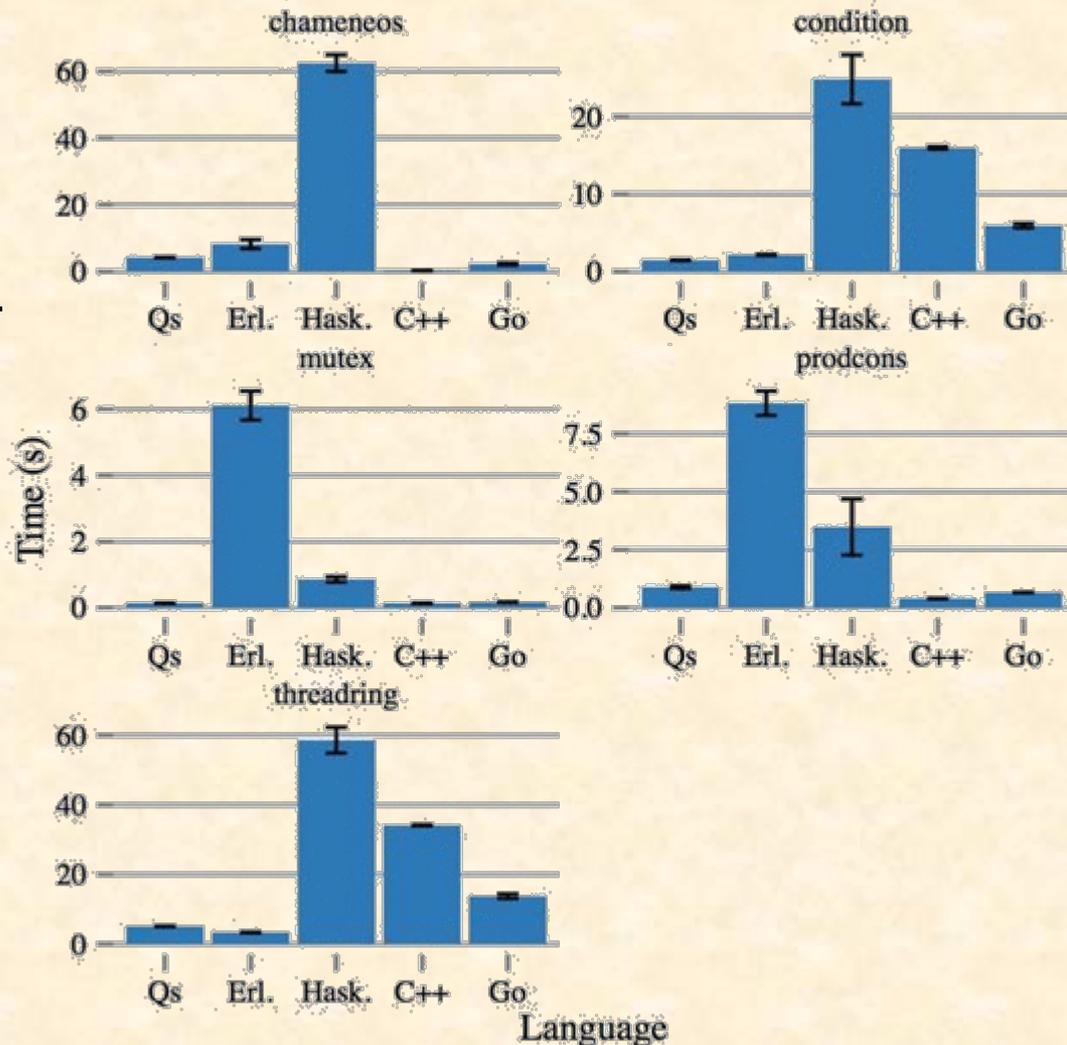
SCOOP performance is competitive



*Sebastian Nanz, Roman Schmocker
ESEC/FSE 2013*

(Smaller is better)

Over all benchmarks,
SCOOP is the best
performing of the data-
race-free frameworks
(Erlang/Haskell)





Simple Concurrent Object-Oriented Programming

Incremental addition to basic O-O scheme: **one new keyword**

- Basic ideas go back to 1993 CACM paper
- Implementations at Eiffel Software (processes) then ETH Zurich and Eiffel Software (threads)
- “Concurrency Made Easy” Advanced Investigator Grant project from European Research Council, €2.5M, ETH then Politecnico di Milano
- Standard part of Eiffel language and IDE (EiffelStudio)



Can we bring concurrent programming
to the same level
of **abstraction** and **convenience**
as sequential programming?

Ways to approach concurrency:

1. It's the general setup, sequential is just a special case
2. We understand sequential, let's keep close to it

“Reasonability”



The only foreseeable way to continue advancing performance is to match parallel hardware with parallel software. There has been genuine progress on the software front in specific fields, such as some scientific applications. Heroic programmers can exploit vast amounts of parallelism. However, none of those developments comes close to the ubiquitous support for programming parallel hardware required to ensure that IT's effect on society over the next two decades will be as stunning as it has been over the last half-century.

The Future of Computing Performance: Game Over or Next Level?
<https://www.nap.edu/read/12980/chapter/2> (slightly abridged)



*Our intellectual powers are geared to visualize **static** relations, not processes **evolving in time**.*

*Hence we should strive to shorten the **conceptual gap** between the static program (in text space) and the dynamic process (in time).*

Source: <http://citeseerx.ist.psu.edu/viewdoc/download?doi=10.1.1.92.4846&rep=rep1&type=pdf>

**Slightly abridged*

Dining philosophers (Tanenbaum)



```
def getfork(i):  
    mutex.wait()  
    state[i] = 'hungry'  
    test(i)  
    mutex.signal()  
    sem[i].wait()
```

```
def putfork(i):  
    mutex.wait()  
    state[i] = 'thinking'  
    test(right(i))  
    test(left(i))  
    mutex.signal()
```

```
def test(i):  
    if state[i] == 'hungry'  
    and state (left (i)) != 'eating'  
    and state (right (i)) != 'eating':  
        state[i] = 'eating'  
        sem[i].signal()
```

```
state = ['thinking'] * 5  
sem = [Semaphore(0) for i in range(5)]  
mutex = Semaphore(1)
```

Dining philosophers in SCOOP



```
class PHILOSOPHER feature
  live
    do
      from getup until is_over loop
        think ; eat (left, right)
      end
    end
end

eat (l, r: separate FORK)
  -- Eat, having grabbed l and r.
  do ... l.pick ; ... r.pick ; ... end

getup do ... end
is_over: BOOLEAN
end
```



```
transfer (source, target: ACCOUNT;  
          amount: INTEGER)  
  -- Transfer amount from source to target.  
require  
  source.balance >= amount  
do  
  source.withdraw (amount)  
  target.deposit (amount)  
ensure  
  source.balance = old source.balance – amount  
  target.balance = old target.balance + amount  
end
```

Class invariant: $balance \geq 0$

Bank transfer (simplified)



```
transfer (source, target: ACCOUNT;  
          amount: INTEGER)  
  -- If enough funds, transfer amount from source to target.  
do  
  if source.balance >= amount then  
    source.withdraw (amount)  
    target.deposit (amount)  
  end  
end
```

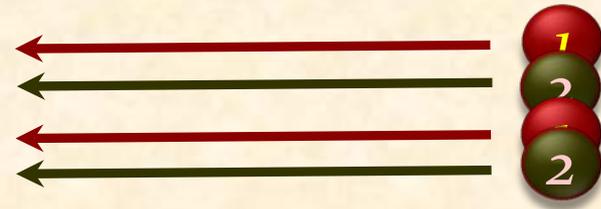
Data race



```
transfer (source, target:  
           amount: INTEGER)  
-- If enough funds, transfer amount from source to target.
```

do

```
if source.balance >= amount then  
    source.withdraw (amount)  
    target.deposit (amount)  
end
```



end

end

transfer (*Jane*, *Jill*, 100)



transfer (*Jane*, *Joan*, 100)



<i>Jane</i>	<i>Jill</i>	<i>Joan</i>
-------------	-------------	-------------

100	0	0
-----	---	---

0	100	0
---	-----	---

-100	100	100
------	-----	-----

The inability to reason from APIs



if $acc1.balance \geq 100$



then transfer (acc1, **acc2**, 100) end

if $acc1.balance \geq 100$



then *transfer* (acc1, **acc3**, 100) end



transfer (source, target: ACCOUNT; amount: INTEGER)

-- Transfer amount from source to target.

require

$source.balance \geq amount$

ensure

$source.balance = \mathbf{old} \ source.balance - amount$

$target.balance = \mathbf{old} \ target.balance + amount$

invariant

$balance \geq 0$

Bank transfer in SCOOP



transfer (*source*, *target*: **separate** *ACCOUNT*;

amount: *INTEGER*)

-- Transfer amount from source to target.

require

source.balance \geq *amount*

do

source.withdraw (*amount*)

target.deposit (*amount*)

ensure

source.balance = **old** *source.balance* – *amount*

target.balance = **old** *target.balance* + *amount*

end



1. Object-oriented programming
2. Processors
3. Partitioning of the object space
4. Dynamic processor creation
5. Asynchronous command calls
6. Mutual exclusion on objects
7. Multiple simultaneous object reservation
8. Forced encapsulation
9. Resynchronization through queries
10. Conditional waiting through preconditions



- (Static) type and module structure: class
- (Dynamic) data structure: object
- Inheritance for (static) reuse and (dynamic) binding

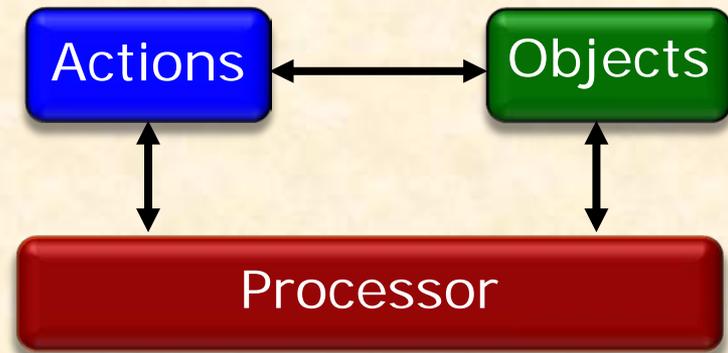
Choice 2: processors



Processor: Thread of control supporting sequential execution of instructions on one or more objects

Can be implemented as:

- Computer CPU
- Process
- Thread



Will be mapped to computational resources

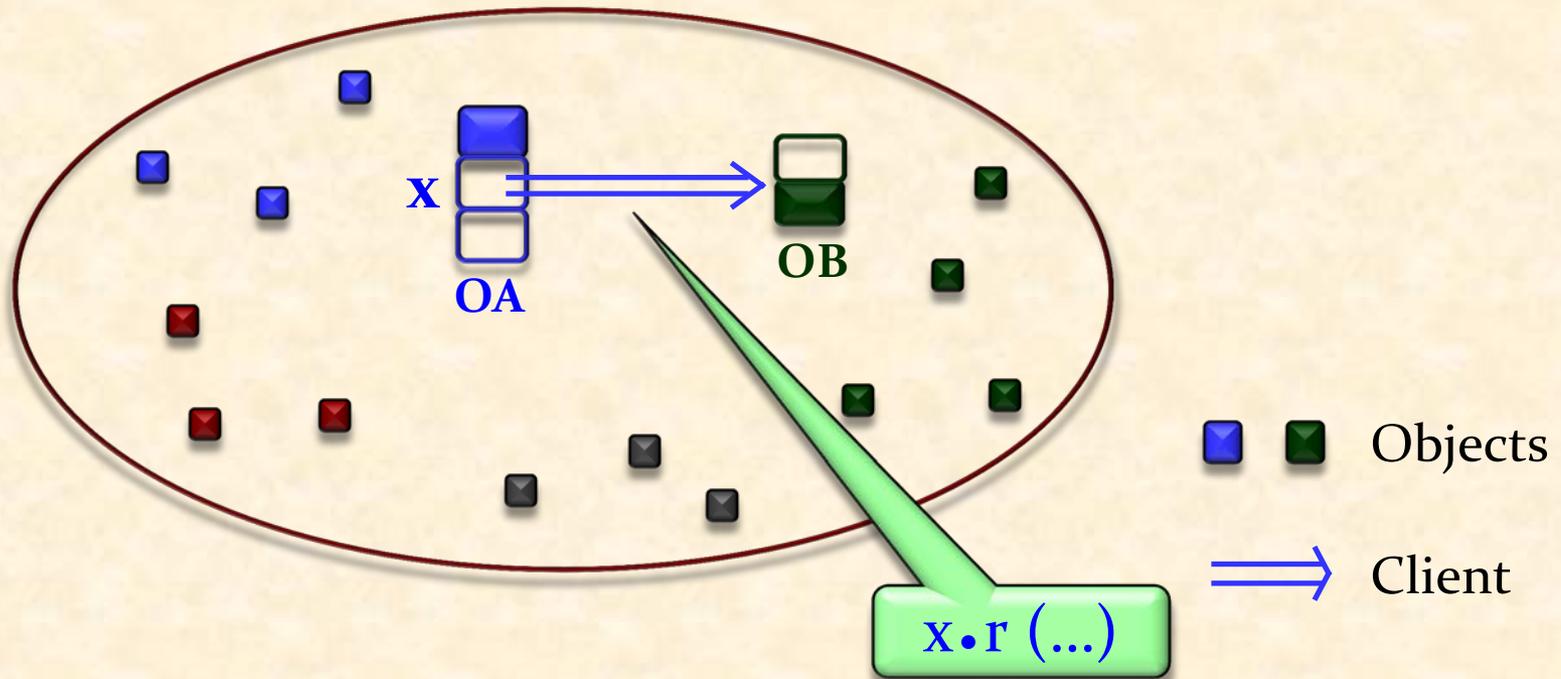
Choice 3: map object structure to processor structure



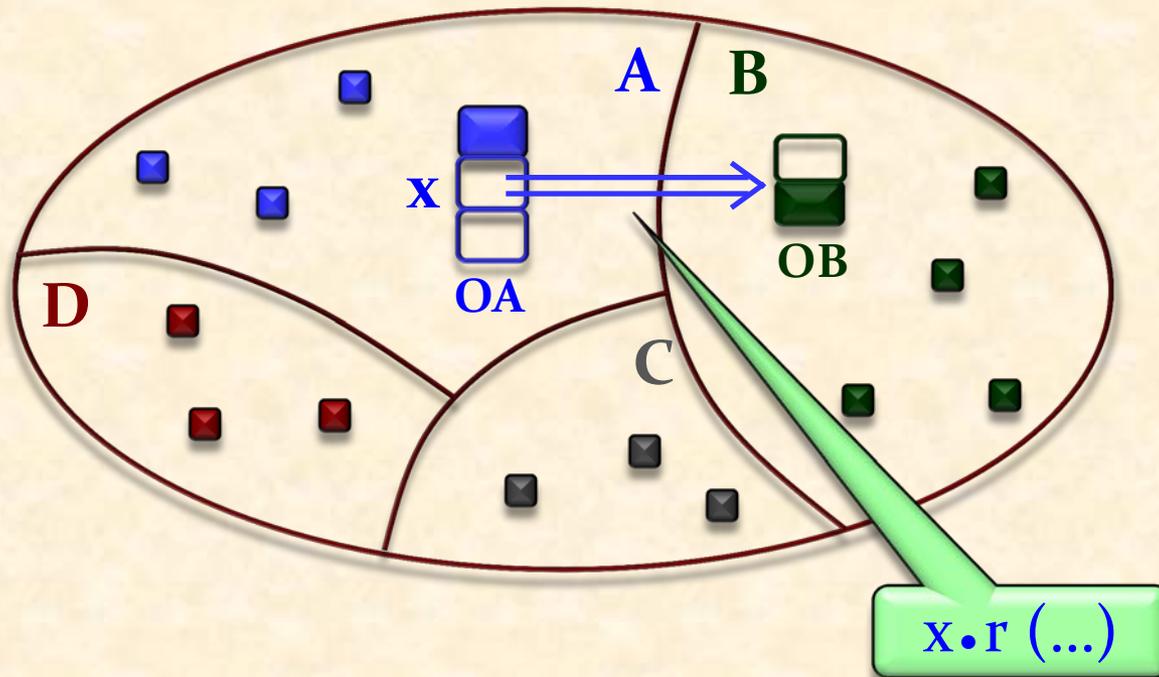
Fundamental operation in OO programming:

$x.r$ (args)

Qualified call “targeted” to x
(also: “message passing”),



All calls targeted to a given object are performed by a single processor, called the object's **handler**



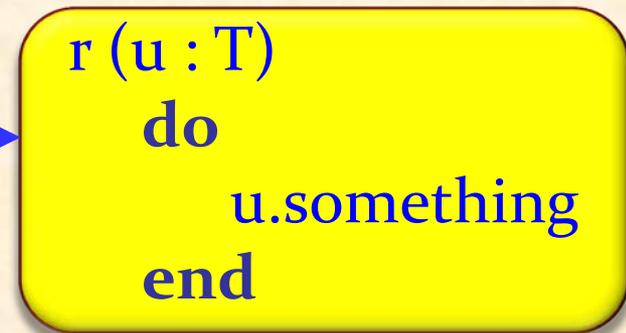
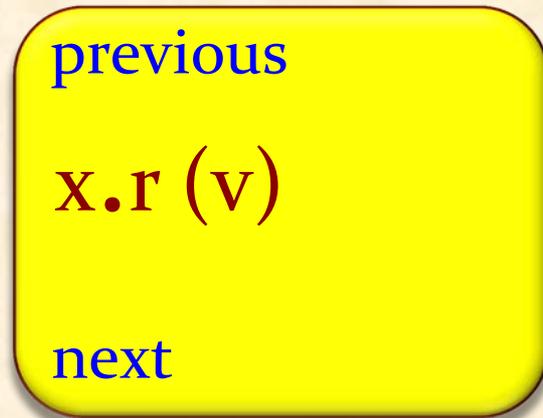
Choice 4: asynchronous command calls



Qualified call in the sequential world:

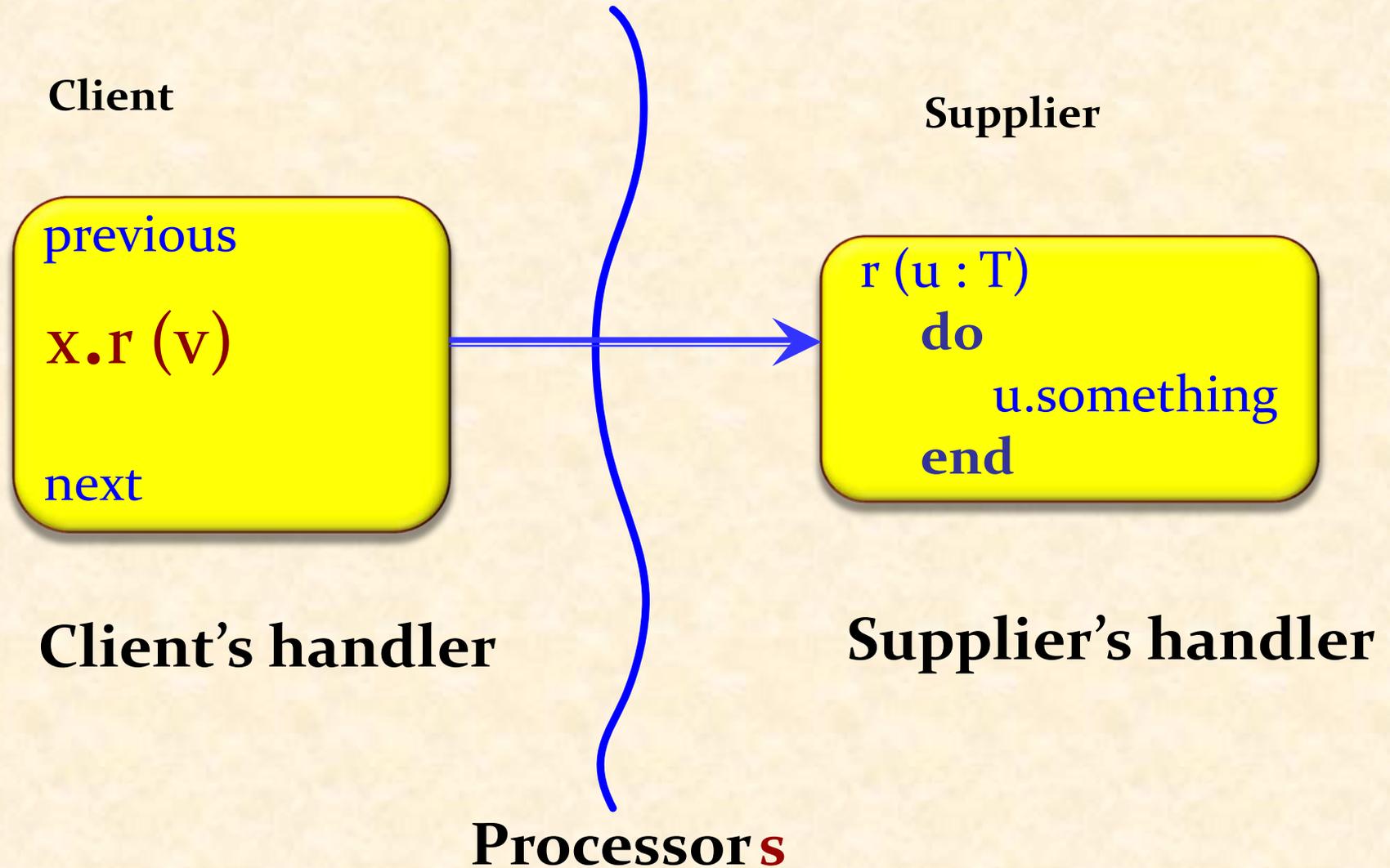
Client

Supplier



Processor

Qualified calls in a concurrent world



The execution of a call requested by a processor on objects in another region is asynchronous

We must distinguish between:

xìr (args)

- Routine (method) **call**
- Routine **application**



Rule 1 (causality): for given call, application occurs after call

Rule 2 (consistency): from given client processor to given supplier processor, application order is call order

xìr (args)

No guarantee between > 2 processors

The two forms of O-O call



“Separate” means: possibly in a different region

A command call $x.r(a)$ is:

- Synchronous (waits) for non-separate x
- Asynchronous (does not wait) for separate x

Difference captured by syntax:

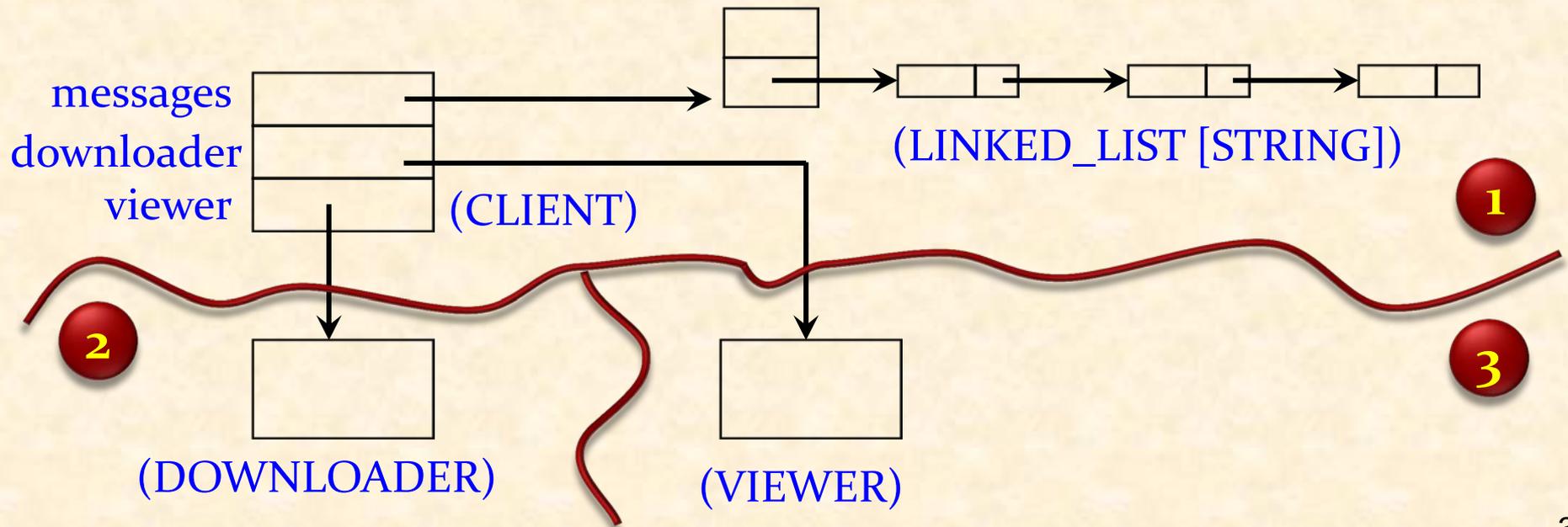
- $x: T$
- $x: \mathbf{separate} T$ -- Potentially different region

Demo: an email system, from sequential to concurrent

class CLIENT feature

messages: LIST [STRING]
downloader: **separate** DOWNLOADER
viewer: **separate** VIEWER
...

end





With

x: separate T

the creation instruction

create x

creates an object (as usual), but also

- Creates a new region
- Puts the new object in that region
- Starts the associated processor

Choice 6: mutual exclusion on objects

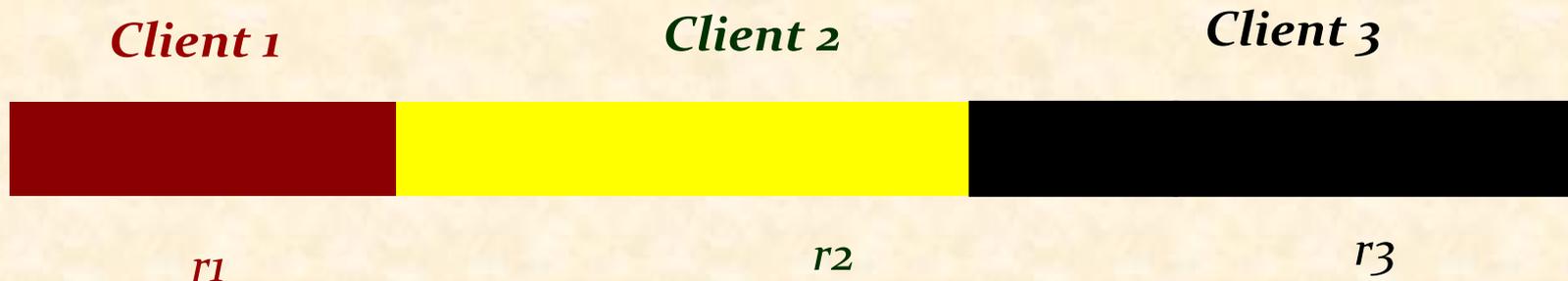


At any given time, at most one operation in progress on any given object

(In fact, on objects in any given region)

No intra-object concurrency

Only n proofs if n exported routines?



$\{INV \text{ and } Pre_r\} \quad body_r \quad \{INV \text{ and } Post_r\}$

$\{Pre_r'\} \quad x.r(a) \quad \{Post_r'\}$

Choice 7: multiple simultaneous object reservation



A call

$xir(a_1, a_2, \dots)$

will *wait* until it has been able to *lock all* the separate objects associated with the arguments a_1, a_2, \dots

Guarantees *mutual exclusion*

Applies to locking *any number of objects*

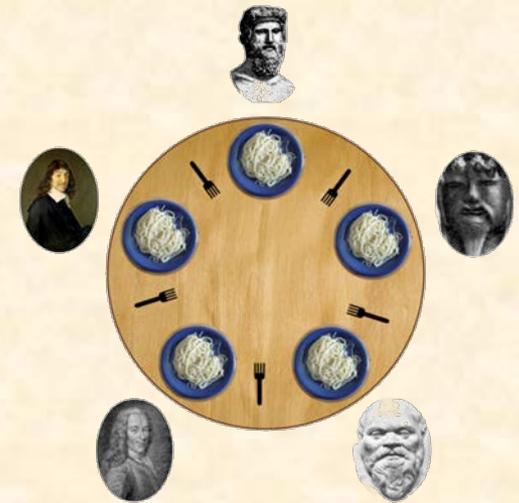
Dining philosophers in SCOOP



```
class PHILOSOPHER feature
  live
  do
    from getup until is_over loop
      think ; eat (left, right)
    end
  end

  eat (l, r: separate FORK)
    -- Eat, having grabbed l and r.
    do ... l.pick ; ... r.pick ; ... end

  getup do ... end
  is_over: BOOLEAN
end
```



Another example of mutual exclusion



This routine will lock *b*:

```
put (b: separate QUEUE [T]; value: T)  
    -- Add value, FIFO-style, to b.  
do  
    b.put (value)  
end
```

The buffer update *b.put (value)* is mutually exclusive and safe

Dining philosophers in SCOOP (slight variant)



```
class PHILOSOPHER inherit
  PROCESS
  rename
    setup as getup
  redefine step end

feature {BUTLER}
  step
  do
    think ; eat (left, right)
  end

  eat (l, r: separate FORK)
    -- Eat, having grabbed l and r.
  do ... end

end
```

Choice 8: forced encapsulation



$x_i r (a_1, a_2, \dots)$

Locking through argument passing is *enforced* in SCOOP:

**The target of a separate call
must be a formal argument
of enclosing routine**

Invalid code (compile-time error):

`buff: separate QUEUE[T]`

...

`buff.put (value1)`

`buff.put (value2)`





```
insert (buff: separate QUEUE[T])  
  do  
    ...  
    buff.put (value1)  
    buff.put (value2)  
  end
```



You can also use the **separate** instruction:

```
buff: separate QUEUE [T]
```

```
...
```

```
separate buff as b do
```

```
    buff.put (value1)
```

```
    buff.put (value2)
```

```
end
```

Choice 9: resynchronize through queries



How do we resynchronize after asynchronous (separate) call?

`x.command1 (u, v)`

`x.command2 (a, b)`

`x.command3`

...

`value := x.query1`

Answer: the client will wait when, and only when, it needs to



Wait here

Terminology:

- A **command** does not return a result (procedure).
- A **query** returns a result (function or attribute).

Lazy wait (or wait by necessity, Caromel)



A command call $x.c$ is asynchronous

A query call $y := x.q$ is synchronous

Choice 10: conditional waiting through preconditions



What becomes of contracts, in particular preconditions, in a concurrent context?

```
put (b : separate QUEUE [INTEGER] ; v : INTEGER)
```

```
-- Insert v into buffer b.
```

```
require
```

```
not b.is_full
```

```
do
```

```
b.put (v)
```

```
ensure
```

```
not b.is_empty
```

```
end
```



In a client:

```
buff : separate QUEUE [INTEGER]
```

```
if not buff.is_full then
```



```
put (buff, 10)
```

```
end
```

Precondition becomes
wait condition

Condition synchronization in SCOOP



Application of a routine only proceeds when **separate preconditions** satisfied

A precondition is separate if it involves a call to a separate target

```
put (buff: separate QUEUE[INTEGER] ; v : INTEGER)
    -- Store v into buffer.
    require
        not buff.is_full
        v > 0
    do
        buff.put (v)
    ensure
        not buff.is_empty
    end
```

Correctness
condition
(no wait
semantics)

Precondition becomes
wait condition

Example: bank transfer



```
transfer (source, target: separate ACCOUNT;  
        amount: INTEGER)
```

```
-- Transfer amount from source to target.
```

```
require
```

```
    source.balance >= amount
```

```
do
```

```
    source.withdraw (amount)
```

```
    target.deposit  (amount)
```

```
ensure
```

```
    source.balance = old source.balance – amount
```

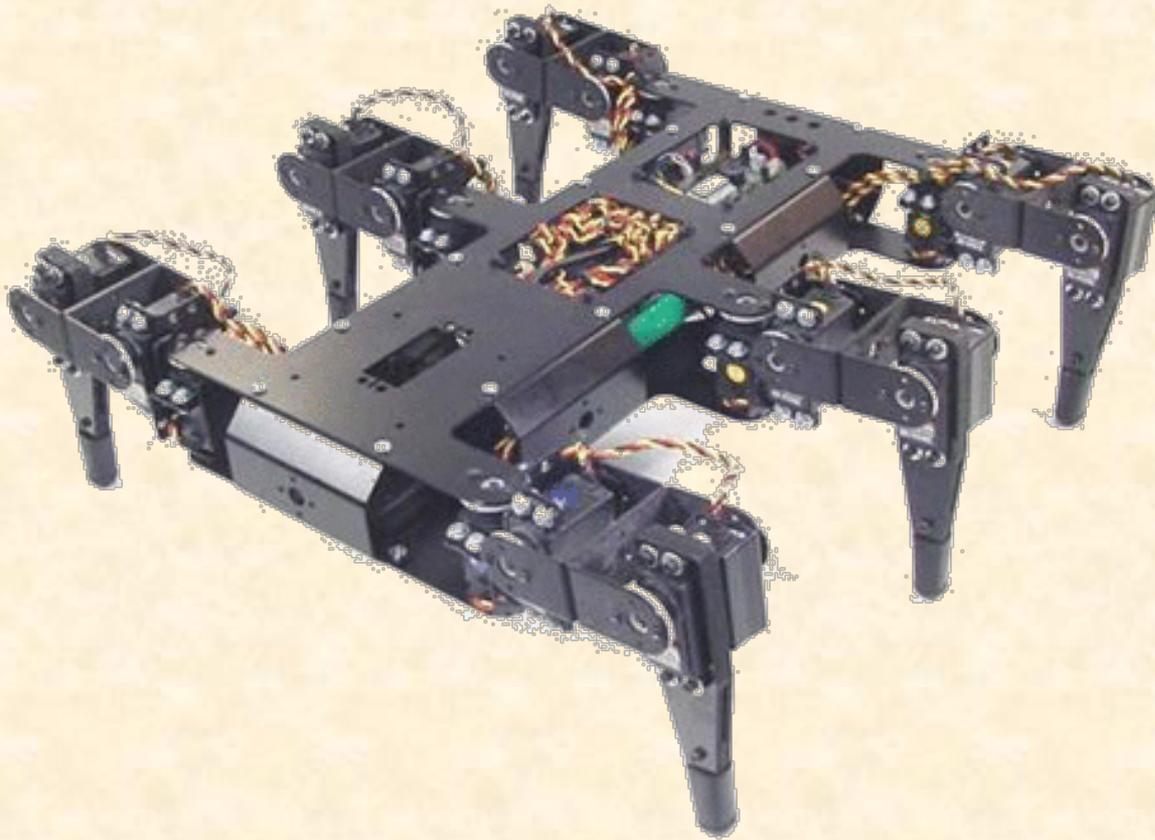
```
    target.balance = old target.balance + amount
```

```
end
```

Another example: hexapod robot



Ganesh Ramanathan, Benjamin Morandi, IROS 2011



Roboscoop framework

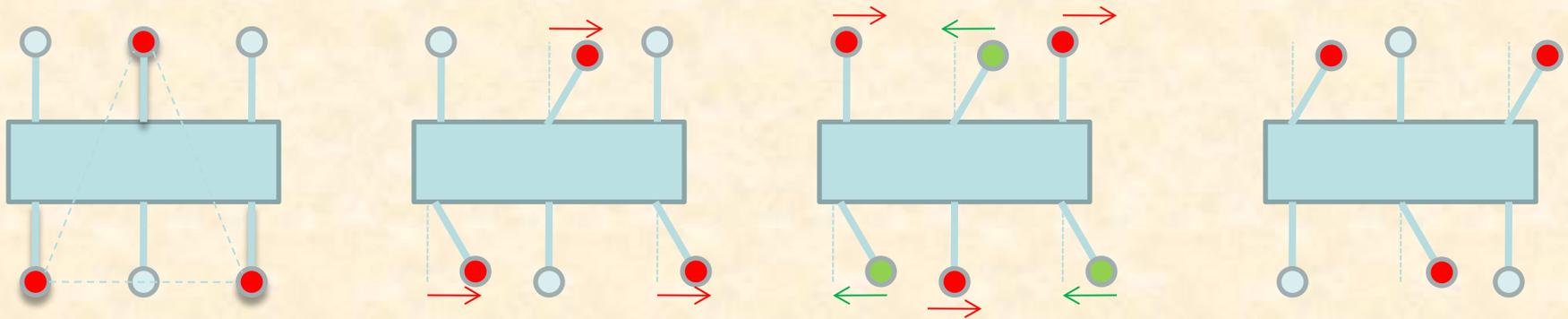
Hexapod locomotion



Dürr, Schmitz, Cruse:

Behavior-based modeling of hexapod locomotion

in Arthropod Structure & Development, 2004



R₁: Protraction can start only if partner group on ground

R_{2.1}: Protraction starts on completion of retraction

R_{2.2}: Retraction starts on completion of protraction

R₃: Retraction can start only when partner group raised

R₄: Protraction can end only when partner group retracted



begin_protraction (partner, me: separate LEG_GROUP)

require

me.legs_retracted

partner.legs_down

not partner.protraction_pending

do

tripod.lift

me.set_protraction_pending

end

***R1:** Protraction can start only if partner group on ground*

***R2.1:** Protraction starts on completion of retraction*

***R2.2:** Retraction starts on completion of protraction*

***R3:** Retraction can start only when partner group raised*

***R4:** Protraction can end only when partner group retracted*

Multi-threaded implementation



```
private object m_protractionLock = new object();
```

```
private void ThreadProcWalk(object obj)
```

```
{
```

```
    TripodLeg leg = obj as TripodLeg;
```

```
    while (Thread.CurrentThread.ThreadState != ThreadState.  
        AbortRequested)
```

```
    {
```

```
        // Waiting for protraction lock
```

```
        lock (m_protractionLock)
```

```
        {
```

```
            // Waiting for partner leg drop
```

```
            leg.Partner.DroppedEvent.WaitOne();
```

```
            leg.Raise();
```

```
        }
```

```
        leg.Swing();
```

```
        // Waiting for partner retraction
```

```
        leg.Partner.RetractedEvent.WaitOne();
```

```
        leg.Drop();
```

```
        // Waiting for partner raise
```

```
        leg.Partner.RaisedEvent.WaitOne();
```

```
        leg.Retract();
```

```
    }
```

```
}
```

Traitors and the SCOOP type system



Piotr Nienaltowski

a, b: PERSON

x, y: **separate** PERSON

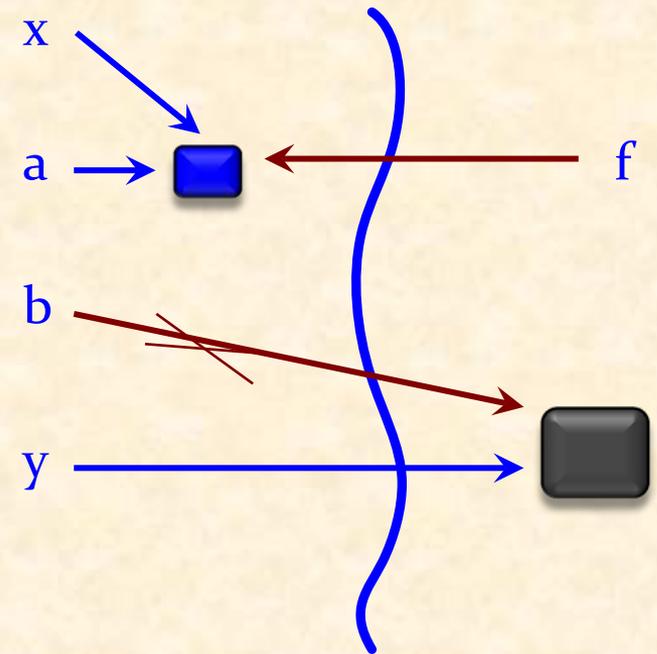
x := a



~~b := y~~

r (f: **separate** PERSON) do ... end

xir (a)



Traitor: variable declared as non-separate which, at run time, may become attached to a separate object

The type system guarantees the absence of traitors

Teamwork

(ETH, Eiffel Software, Politecnico)



Georgiana Caltais
Alexei Kolesnichenko
Alexander Kogtenkov
Benjamin Morandi
Sebastian Nanz
Piotr Nienaltowski
Chris Poskitt

Ganesh Ramanathan
Andrey Rusakov
Roman Schmocker
Mischael Schill
Jiwon Shin
Emmanuel Stapf
Scott West

Plus many colleagues:
Jonathan Ostroff, Phil
Brooke, Richard Paige,
Manfred Broy, Jay Misra,
Denis Caromel...





Model:

- Multiple readers

Implementation

- Better support for distribution
- Continuation of work on GPUs
- Deadlock analysis

Theory

- Full proof rule



- Built-in guarantee of **no data races**
- Close connection to O-O modeling
- Natural use of O-O mechanisms such as inheritance
- Built-in fairness
- Removes many concerns from programmer
- Supports many different forms of concurrency
- Retains accepted patterns of reasoning about programs
- Simple to learn and use

To learn more (and try SCOOP):

<http://cme.ethz.ch>

<http://eiffel.org>



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